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# DeepLearning.AI

## Model Resource Management Techniques

## Welcome

## **Dimensionality Reduction**



## Dimensionality Effect on Performance

#### High-dimensional data

Before. .. when it was all about data mining
Domain experts selected features
Designed feature transforms
Small number of more relevant features were enough

Now ... data science is about integrating everything
Data generation and storage is less of a problem
Squeeze out the best from data
More high-dimensional data having more features

#### A note about neural networks

- Yes, neural networks will perform a kind of automatic feature selection
- However, that's not as efficient as a well-designed dataset and model
  - Much of the model can be largely "shut off" to ignore unwanted features
  - Even unused parts of the consume space and compute resources
  - Unwanted features can still introduce unwanted noise
  - Each feature requires infrastructure to collect, store, and manage

#### High-dimensional spaces



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#### Word embedding - An example

Auto Embedding Weight Matrix





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#### Initialization and loading the dataset

```
import tensorflow as tf
from tensorflow import keras
import numpy as np
from keras.datasets import reuters
from keras.preprocessing import sequence
num words = 1000
```

#### Further preprocessing

```
from keras.utils import np_utils
reuters_train_y = np_utils.to_categorical(reuters_train_y, 46)
reuters_test_y = np_utils.to_categorical(reuters_test_y, 46)
```

### Using all dimensions

```
from tensorflow.keras import layers
model2 = tf.keras.Sequential(
     layers.Embedding(num_words, 1000, input_length= 20),
      layers.Flatten(),
      layers.Dense(256),
      layers.Dropout(0.25),
      layers.Activation('relu'),
      layers.Dense(46),
      layers.Activation('softmax')
    ])
```

```
model.compile(loss="categorical_crossentropy", optimizer="rmsprop",
metrics=['accuracy'])
```

#### Example with a higher number of dimensions



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#### Word embeddings: 6 dimensions

```
from tensorflow.keras import layers
model = tf.keras.Sequential(
```

```
layers.Embedding(num_words, 6, input_length= 20),
layers.Flatten(),
layers.Dense(256),
layers.Dropout(0.25),
layers.Activation('relu'),
layers.Dense(46),
layers.Activation('softmax')
```

#### Word embeddings: fourth root of the size of the vocab



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## **Dimensionality Reduction**



## **Curse of Dimensionality**

#### Many ML methods use the distance measure



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#### Why is high-dimensional data a problem?

- More dimensions  $\rightarrow$  more features
- Risk of overfitting our models
- Distances grow more and more alike
- No clear distinction between clustered objects
- Concentration phenomenon for Euclidean distance

#### Curse of dimensionality

"As we add more dimensions we also increase the processing power we need to train the model and make predictions, as well as the amount of training data required"

**Badreesh Shetty** 

#### Why are more features bad?

- Redundant / irrelevant features
- More noise added than signal
- Hard to interpret and visualize
- Hard to store and process data

#### The performance of algorithms ~ the number of dimensions



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#### Adding dimensions increases feature space volume

1-D

1	2	3	4	5
---	---	---	---	---

<b>Z-D</b>
------------

(1, 1)	(1, 2)	(1, 3)	(1, 4)	(1, 5)
(2, 1)	(2, 2)	(2, 3)	(2, 4)	(2, 5)
(3, 1)	(3, 2)	(3, 3)	(3, 4)	(3, 5)
(4, 1)	(4, 2)	(4, 3)	(4, 4)	(4, 5)
(5, 1)	(5, 2)	(5, 3)	(5, 4)	(5, 5)



#### Curse of dimensionality in the distance function

**Euclidean distance** 

$$d_{ij} = \sqrt{\sum_{k=1}^{n} (x_{ik} - x_{jk})^2}$$

- New dimensions add non-negative terms to the sum
- Distance increases with the number of dimensions
- For a given number of examples, the feature space becomes increasingly sparse

#### Increasing sparsity with higher dimensions



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#### The Hughes effect

The more the features, the larger the hypothesis space



The lower the hypothesis space

- the easier it is to find the correct hypothesis
- the less examples you need

## **Dimensionality Reduction**



## Curse of Dimensionality: An example

### How dimensionality impacts in other ways

- Runtime and system memory requirements
- Solutions take longer to reach global optima
- More dimensions raise the likelihood of correlated features



#### More features require more training data

- More features aren't better if they don't add predictive information
- Number of training instances needed increases exponentially with each added feature
- Reduces real-world usefulness of models

#### Model #1 (missing a single feature)

sex:InputLayer	<ul> <li>category_encoding_6:CategoryEncoding</li> </ul>		category_enconding_5:CategoryEncoding <a>ca:InputLayer</a>
cp:InputLayer	<ul> <li>category_encoding_1:CategoryEncoding</li> </ul>	M/	normalization:Normalization - age:InputLayer
fbs:InputLayer	<ul> <li>category_encoding_2:CategoryEncoding</li> </ul>	$\operatorname{K}(//$	normalization_1:Normalization
restecg : InputLayer	<ul> <li>category_encoding_3:CategoryEncoding</li> </ul>	$\mathbb{K} / / / / / / / / / / / / / / / / / / /$	normalization_2:Normalization
exang:InputLayer	category_encoding_4:CategoryEncoding	$\mathbb{R}$	normalization_3:Normalization <a>thalach:InputLayer</a>
			normalization_4:Normalization    oldpeak:InputLayer
		¥	
slope:InputLayer	normalization_5:Normalization concat	enate: Concate	nate - dense: Dense - dropout: dropout - dense_1: Dense

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#### Model #2 (adds a new feature)



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#### Comparing the two models' trainable variables

from tensorflow.python.keras.utils.layer\_utils import count\_params

# Number of training parameters in Model #1

>>> count\_params(model\_1.trainable\_variables)

833

# Number of training parameters in Model #2 (with an added feature)
>>> count\_params(model\_1.trainable\_variables)
1057

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#### What do ML models need?

- No hard and fast rule on how many features are required
- Number of features to be used vary depending on
- Prefer uncorrelated data containing information to produce correct results





### **Dimensionality Reduction**

## Manual Dimensionality Reduction

#### Increasing predictive performance

- Features must have information to produce correct results
- Derive features from inherent features
- Extract and recombine to create new features

#### Feature explosion

#### **Initial features**





pixels, contours, textures, etc.



MAN

ticks, trends, reversals, etc.



dna, marker sequences, genes, etc.



words, grammatical classes and relations, etc.

#### **Combining features**

- Number of features grows very quickly
- Reduce dimensionality

### Why reduce dimensionality?





Consistency



Visualization

Major techniques for dimensionality reduction



Engineering



Selection

#### Feature Engineering

Need for manually crafting features

Certainly provides food for thought

#### **Engineer features**

- Tabular aggregate, combine, decompose
- Text-extract context indicators
- Image-prescribe filters for relevant structures

It's an iterative process

Come up with ideas to construct "better" features

Devising features to reduce dimensionality

Select the right features to maximize predictiveness

Evaluate models using chosen features

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# **Dimensionality Reduction**

# Manual Dimensionality Reduction: case study

#### Taxi Fare dataset

```
CSV COLUMNS = [
    'fare amount',
    'pickup datetime', 'pickup longitude', 'pickup latitude',
    'Dropoff longitude', 'dropoff latitude',
    'passenger count', 'key',
LABEL COLUMN = 'fare amount'
STRING COLS = ['pickup datetime']
NUMERIC COLS = ['pickup longitude', 'pickup latitude',
                'dropoff longitude', 'dropoff latitude',
                'passenger count']
DEFAULTS = [[0.0], ['na'], [0.0], [0.0], [0.0], [0.0], [0.0], ['na']]
```

DAYS = ['Sun', 'Mon', 'Tue', 'Wed', 'Thu', 'Fri', 'Sat']

### Build the model in Keras



### Build a baseline model using raw features

```
from tensorflow.keras import layers
from tensorflow.keras.metrics import RootMeanSquared as RMSE
```

dnn\_inputs = layers.DenseFeatures(feature\_columns.values())(inputs)

```
h1 = layers.Dense(32, activation='relu', name='h1')(dnn_inputs)
h2 = layers.Dense(8, activation='relu', name='h2')(h1)
```

#### Train the model



### Increasing model performance with Feature Engineering

- Carefully craft features for the data types
  - Temporal (pickup date & time)
  - Geographical (latitude and longitude)

### Handling temporal features

```
def parse_datetime(s):
    if type(s) is not str:
        s = s.numpy().decode('utf-8')
    return datetime.datetime.strptime(s, "%Y-%m-%d %H:%M:%S %Z")
def get_dayofweek(s):
    ts = parse datetime(s)
    return DAYS[ts.weekday()]
@tf.function
def dayofweek(ts_in):
    return tf.map fn(
        lambda s: tf.py_function(get_dayofweek, inp=[s],
                  Tout=tf.string),
        ts_in)
```

#### **Geolocational features**

def euclidean(params):
 lon1, lat1, lon2, lat2 = params
 londiff = lon2 - lon1
 latdiff = lat2 - lat1
 return tf.sqrt(londiff \* londiff + latdiff \* latdiff)

### Scaling latitude and longitude

def scale\_longitude(lon\_column):
 return (lon\_column + 78)/8.

def scale\_latitude(lat\_column):
 return (lat\_column - 37)/8.

### Preparing the transformations

```
def transform(inputs, numeric cols, string cols, nbuckets):
    . . .
    feature columns = {
        colname: tf.feature column.numeric column(colname)
        for colname in numeric cols
  for lon_col in ['pickup_longitude', 'dropoff_longitude']:
        transformed[lon_col] = layers.Lambda(scale_longitude,
            ...)(inputs[lon col])
  for lat col in ['pickup latitude', 'dropoff latitude']:
        transformed[lat_col] = layers.Lambda(
            scale latitude,
            ...)(inputs[lat col])
```

## Computing the Euclidean distance

```
def transform(inputs, numeric cols, string cols, nbuckets):
    • • •
    transformed['euclidean'] = layers.Lambda(
        euclidean,
        name='euclidean')([inputs['pickup_longitude'],
                           inputs['pickup latitude'],
                           inputs['dropoff_longitude'],
                           inputs['dropoff latitude']])
    feature columns['euclidean'] = fc.numeric column('euclidean')
     • • •
```

## Bucketizing and feature crossing

```
def transform(inputs, numeric cols, string cols, nbuckets):
    • • •
    latbuckets = np.linspace(0, 1, nbuckets).tolist()
    lonbuckets = ... # Similarly for longitude
    b plat = fc.bucketized column(
        feature columns['pickup latitude'], latbuckets)
    b dlat = # Bucketize 'dropoff latitude'
    b plon = # Bucketize 'pickup longitude'
    b dlon = # Bucketize 'dropoff longitude'
```

### Bucketizing and feature crossing

```
ploc = fc.crossed_column([b_plat, b_plon], nbuckets * nbuckets)
dloc = # Feature cross 'b_dlat' and 'b_dlon'
pd_pair = fc.crossed_column([ploc, dloc], nbuckets ** 4)
```

feature\_columns['pickup\_and\_dropoff'] = fc.embedding\_column(pd\_pair,
100)



### Build a model with the engineered features



### Train the new feature engineered model



Improved model rmse



# **Dimensionality Reduction**

# Algorithmic Dimensionality Reduction

### Linear dimensionality reduction

- Linearly project n-dimensional data onto a k-dimensional subspace (k < n, often k << n)</li>
- There are infinitely many k-dimensional subspaces we can project the data onto
- Which one should we choose?

### Projecting onto a line



### Best k-dimensional subspace for projection

**Classification:** maximize separation among classes

**Example:** Linear discriminant analysis (LDA)

**Regression:** maximize correlation between projected data and response variable **Example:** Partial least squares (PLS)

Unsupervised: retain as much data variance as possible

**Example:** Principal component analysis (PCA)



# **Dimensionality Reduction**

# **Principal Component Analysis**

## Principal component analysis (PCA)

- PCA is a minimization of the orthogonal distance
- Widely used method for unsupervised & linear dimensionality reduction
- Accounts for variance of data in as few dimensions as possible using linear projections



## Principal components (PCs)

- PCs maximize the variance of projections
- PCs are orthogonal
- Gives the best axis to project
- Goal of PCA: Minimize total squared reconstruction error



### 2-D data



### PCA Algorithm - First Principal Component

Step 1



Find a line, such that when the data is projected onto that line, it has the maximum variance

### PCA Algorithm - Second Principal Component



Find a second line, orthogonal to the first, that has maximum projected variance

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Step 2

### PCA Algorithm

Step 3



Repeat until we have k orthogonal lines



### Applying PCA on Iris



#### Plot the explained variance



tot = sum(pca.e\_vals\_)
var\_exp = [(i / tot) \* 100 for i in sorted(pca.e\_vals\_, reverse=True)]
cum\_var\_exp = np.cumsum(var\_exp)

#### PCA factor loadings



### PCA in scikit-learn

from sklearn.preprocessing import StandardScaler
from sklearn.decomposition import PCA
from sklearn import datasets

# Load the data
digits = datasets.load\_digits()

# Standardize the feature matrix

X = StandardScaler().fit\_transform(digits.data)

#### PCA in scikit-learn

```
# Create a PCA that will retain 99% of the variance
pca = PCA(n_components=0.99, whiten=True)
```

```
# Conduct PCA
X_pca = pca.fit_transform(X)
```

### When to use PCA?





# **Dimensionality Reduction**

# **Other Techniques**

### More dimensionality reduction algorithms



## Singular value decomposition (SVD)

- SVD decomposes non-square matrices
- Useful for sparse matrices as produced by TF-IDF
- Removes redundant features from the dataset

### Independent Components Analysis (ICA)

- PCA seeks directions in feature space that minimize reconstruction error
- ICA seeks directions that are most statistically independent
- ICA addresses higher order dependence
#### How does ICA work?

• Assume there exists independent signals:

 $S = [s_1(t), s_2(t), \dots, s_N(t)]$ 

- Linear combinations of signals: Y(t) = A S(t)
  - Both A and S are unknown
  - A mixing matrix
- Goal of ICA: recover original signals, *S*(*t*) from *Y*(*t*)

#### Comparing PCA and ICA

	РСА	ICA
Removes correlations	~	1
Removes higher order dependence		1
All components treated fairly?		1
Orthogonality	1	

### Non-negative Matrix Factorization (NMF)

- NMF models are interpretable and easier to understand
- NMF requires the sample features to be non-negative



Non-negative components - NMF - Train time 0.1s



## **Quantization & Pruning**

# Mobile, IoT, and Similar Use Cases

#### Trends in adoption of smart devices



### Factors driving this trend

- Demands move ML capability from cloud to on-device
- Cost-effectiveness
- Compliance with privacy regulations



## **Online ML inference**

- To generate real-time predictions you can:
  - Host the model on a server
  - Embed the model in the device
- Is it faster on a server, or on-device?
- Mobile processing limitations?

## Mobile inference

Inference on the cloud/server



#### Pros

- Lots of compute capacity
- Scalable hardware
- Model complexity handled by the server
- Easy to add new features and update the model
- Low latency and batch prediction

#### Cons

• Timely inference is needed

### Mobile inference

#### **On-device Inference**



#### Pro

- Improved speed
- Performance
- Network connectivity
- No to-and-fro communication needed

#### Cons

- Less capacity
- Tight resource constraints

## Model deployment

Options	On-device inference	On-device personalization	On-device training	Cloud-based web service	Pretrained models	Custom models
ML Kit	1	1		1	<i>√</i>	$\checkmark$
Core ML	1	1	1		1	$\checkmark$
TensorFlow Lite	1	1	1		1	1

\* Also supported in TFX



## **Quantization & Pruning**

# Benefits and Process of Quantization

#### Quantization



### Why quantize neural networks?

- Neural networks have many parameters and take up space
- Shrinking model file size
- Reduce computational resources
- Make models run faster and use less power with low-precision

#### MobileNets: Latency vs Accuracy trade-off



#### **Benefits of quantization**

- Faster compute
- Low memory bandwidth
- Low power
- Integer operations supported across CPU/DSP/NPUs

#### The quantization process



#### What parts of the model are affected?

- Static values (parameters)
- Dynamic values (activations)
- Computation (transformations)

#### Trade-offs

- Optimizations impact model accuracy
  - Difficult to predict ahead of time
- In rare cases, models may actually gain some accuracy
- Undefined effects on ML interpretability

#### Choose the best model for the task





## **Quantization & Pruning**

# **Post Training Quantization**

## Post-training quantization

- Reduced precision representation
- Incur small loss in model accuracy
- Joint optimization for model and latency



### Post-training quantization

Technique	Benefits
Dynamic range quantization	4x smaller, 2x-3x speedup
Full integer quantization	4x smaller, 3x+ speedup
float16 quantization	2x smaller, GPU acceleration



```
import tensorflow as tf
```

converter = tf.lite.TFLiteConverter.from\_saved\_model(saved\_model\_dir)

converter.optimizations = [tf.lite.Optimize.OPTIMIZE\_FOR\_SIZE]

tflite\_quant\_model = converter.convert()

#### Post-training integer quantization





#### Model accuracy

- Small accuracy loss incurred (mostly for smaller networks)
- Use the benchmarking tools to evaluate model accuracy
- If the loss of accuracy drop is not within acceptable limits, consider using quantization-aware training





## **Quantization & Pruning**

# Quantization Aware Training

## Quantization-aware training (QAT)

- Inserts fake quantization (FQ) nodes in the forward pass
- Rewrites the graph to emulate quantized inference
- Reduces the loss of accuracy due to quantization
- Resulting model contains all data to be quantized according to spec

## Quantization-aware training (QAT)



#### Adding the quantization emulation operations



#### Adding the quantization emulation operations



#### QAT on entire model

import tensorflow\_model\_optimization as tfmot

```
model = tf.keras.Sequential([
    ...
])
# Quantize the entire model.
quantized_model = tfmot.quantization.keras.quantize_model(model)
# Continue with training as usual.
guantized model.compile(...)
```

```
quantized_model.fit(...)
```

## Quantize part(s) of a model

```
import tensorflow_model_optimization as tfmot
quantize_annotate_layer = tfmot.quantization.keras.quantize_annotate_layer
model = tf.keras.Sequential([
```

```
# Only annotated layers will be quantized.
quantize_annotate_layer(Conv2D()),
quantize_annotate_layer(ReLU()),
Dense(),
...
```

```
])
```

```
# Quantize the model.
```

quantized\_model = tfmot.quantization.keras.quantize\_apply(model)

#### Quantize custom Keras layer

```
quantize_annotate_layer =
tfmot.quantization.keras.quantize_annotate_layer
quantize_annotate_model =
tfmot.quantization.keras.quantize_annotate_model
quantize_scope = tfmot.quantization.keras.quantize_scope
```

tf.keras.layers.Flatten()

]))

### **Quantize custom Keras layer**

# `quantize\_apply` requires mentioning `DefaultDenseQuantizeConfig` with `quantize\_scope`

```
with quantize_scope(
```

{'DefaultDenseQuantizeConfig': DefaultDenseQuantizeConfig,

```
'CustomLayer': CustomLayer}):
```

# Use `quantize\_apply` to actually make the model quantization aware.

quant\_aware\_model = tfmot.quantization.keras.quantize\_apply(model)

#### Model Optimization Results - Accuracy

Model	Top-1 Accuracy (Original)	Top-1 Accuracy (Post Training Quantized)	Top-1 Accuracy (Quantization Aware Training)
Mobilenet-v1-1-224	0.709	0.657	0.70
Mobilenet-v2-1-224	0.719	0.637	0.709
Inception_v3	0.78	0.772	0.775
Resnet_v2_101	0.770	0.768	N/A

#### Model Optimization Results - Latency

Model	Latency (Original) (ms)	Latency (Post Training Quantized) (ms)	Latency (Quantization Aware Training) (ms)
Mobilenet-v1-1-224	124	112	64
Mobilenet-v2-1-224	89	98	54
Inception_v3	1130	845	543
Resnet_v2_101	3973	2868	N/A
#### Model Optimization Results

Model	Size (Original) (MB)	Size (Optimized) (MB)		
Mobilenet-v1-1-224	16.9	4.3		
Mobilenet-v2-1-224	14	3.6		
Inception_v3	95.7	23.9		
Resnet_v2_101	178.3	44.9		



# **Quantization & Pruning**

# Pruning

#### Connection pruning



Before pruning

After pruning

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#### Model sparsity





#### Origins of weight pruning



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The Lottery Ticket Hypothesis

$$p = \frac{1}{3000000}$$
$$\bar{p} = 1 - p$$
$$p_n = 1 - (1 - p)^n$$

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# Finding Sparse Neural Networks

"A randomly-initialized, dense neural network contains a subnetwork that is initialized such that — when trained in isolation — it can match the test accuracy of the original network after training for at most the same number of iterations"

Jonathan Frankle and Michael Carbin

# Pruning research is evolving

- The new method didn't perform well at large scale
- The new method failed to identify the randomly initialized winners
- It's an active area of research

#### Eliminate connections based on their magnitude

 3	2	7	4	0	2	0	4	0	0	7	4
9	6	3	8	0	6	3	0	9	6	0	0
4	4	1	3	4	0	0	3	0	0	1	3
2	3	2	5	0	3	0	5	2	3	0	0

Tensors with no sparsity (left), sparsity in blocks of 1x1 (center), and the sparsity in blocks 1x2 (right)

# Apply sparsity with a pruning routine



Example of sparsity ramp-up function with a schedule to start pruning from step 0 until step 100, and a final target sparsity of 90%.

#### Sparsity increases with training





# What's special about pruning?

- Better storage and/or transmission
- Gain speedups in CPU and some ML accelerators
- Can be used in tandem with quantization to get additional benefits
- Unlock performance improvements

#### Pruning with TF Model Optimization Toolkit



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#### **Pruning with Keras**

```
import tensorflow model optimization as tfmot
model = build_your_model()
pruning schedule = tfmot.sparsity.keras.PolynomialDecay(
                       initial sparsity=0.50, final sparsity=0.80,
                       begin step=2000, end step=4000)
model for pruning = tfmot.sparsity.keras.prune low magnitude(
                       model,
```

pruning\_schedule=pruning\_schedule)

• • •

model\_for\_pruning.fit(...)

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#### Results across different models & tasks

Model	Non-sparse Top-1 acc.	Sparse acc.	Sparsity	Model	Non-sparse BLEU	Sparse BLEU	Sparsity
Inception V3	78.1%	78.0%	50%			26.86	80%
			GNMT 75% 87.5%	26.77	26.52	85%	
		76.1%				26.19	90%
		74.6%		GNMT DE-EN	29.47	29.50	80%
Mobilenet V1 224	71.04%	70.84%	50%			29.24	85%
						28.81	90%